



CP/2161

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICANT(S): Syed M. Ali, et al.  
SERIAL NO.: 10/004,971  
FILED: December 3, 2001  
TITLE: APPLICATION-INDEPENDENT  
API FOR DISTRIBUTED  
COMPONENT  
COLLABORATION

§ ART UNIT:  
§ EXAMINER:  
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2161

Assistant Commissioner for Patents  
Washington, DC 20231

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INFORMATION DISCLOSURE STATEMENT

Pursuant to their duty of good faith and candor as set forth in 37 CFR 1.56(a), Applicants request that the reference(s) cited on attached form PTO-1449 (copies of which are enclosed) be considered by the Examiner in connection with the search of the prior art required by 37 CFR 1.104. This Statement is being filed under one of the following provisions of § 1.97:

- [ X ] This Statement is being filed under 37 CFR 1.97(b) prior to the latest of: (1) three months from the filing date of this Application; (2) three months after entry of the national stage in the case of an international application; (3) the mailing date of a first Office Action on the merits; or (4) before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- [ ] This Statement is being filed under 37 CFR 1.97(c) after the period specified in § 1.97(b) but prior to the earlier of: (1) a final Office Action under § 1.113; (2) a Notice of Allowance under § 1.113; or (3) an action that otherwise closes prosecution in the application. This Statement is accompanied by either:
- [ ] the fee set forth in § 1.17(p); or
  - [ ] the statement specified in § 1.97(e).
- [ ] This Statement is being filed under 37 CFR 1.97(d) after the period specified in § 1.97(c) but prior to or with payment of the issue fee. This Statement is accompanied by:
- (1) the statement specified in § 1.97(e); and
  - (2) the fee set forth in § 1.17(p).

2m



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~~U.S. PATENT APPLICATION NO. 10/004,971~~  
ATTORNEY DOCKET NO.: 16159.023001; P6425

Applicants believe no petition is necessary; however, if this belief is incorrect, please consider this paper as such.

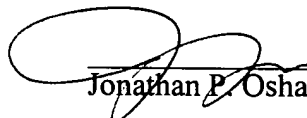
Applicants believe there is no fee due for the filing of this paper. If, however, Applicants are error regarding the fee, the Commissioner is hereby authorized to charge Deposit Account 50-0591 of Rosenthal & Osha L.L.P. under Order No. 16159.023001 for any fees and/or credits during the entire pendency of this application.

Respectfully submitted,

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Dated: 5/15/02

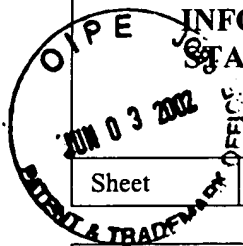
  
Jonathan P. Osha, Reg. No. 33,986

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SUBSTITUTE FOR FORM 1449 (08-00)		U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office		<b>Complete if Known</b>	
				Application Number	10/004,971
				Filing Date	December 3, 2001
				First Named Inventor	Syed M. Ali, et al.
				Group Art Unit	2161
				Examiner Name	
				Attorney Docket Number	16159.023001; P6425



### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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U.S. PATENT DOCUMENTS					
U.S. Patent Document					
Examiner initials*	Cite No.	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY

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FOREIGN PATENT DOCUMENTS							
Foreign Patent Document							
Examiner initials*	Cite No.	Office	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T**

OTHER DOCUMENTS			
Examiner initials*	Cite No.	References	T**
	A1	M. JEFF WILSON; "Get Smart With Proxies and RMI;" Article; November, 2000; pp. 1-17; JavaWorld; <a href="http://www.javaworld.com">http://www.javaworld.com</a>	
	A2	"Chapter 23 - Smart Proxies;" Manual; November, 2000; pp. 1-9; Orbix Programmer's Guide Java Edition; IONA Technologies PLC; <a href="http://www.iona.com">http://www.iona.com</a>	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.  
 \*\* Applicant is to place a check mark here if English language is present or an English Translation or Abstract is attached.

<b>CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CRF 1.8)</b>			<b>Docket No.</b> 16159.023001; P6425
<b>Applicant(s):</b> Syed M. Ali, et al.			
<b>Serial No.</b> 10/004,971	<b>Filing Date</b> December 3, 2001	<b>Examiner</b>	<b>Group Art Unit</b> 2161

**Invention:**

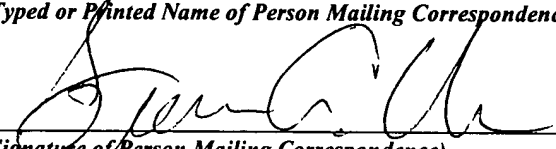
**APPLICATION-INDEPENDENT API FOR DISTRIBUTED COMPONENT COLLABORATION**

I hereby certify that this Information Disclosure Statement along with required papers  
(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

The Assistant Commissioner for Patents, Washington, D.C. 20231 on May 15, 2002  
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Grace A. Wise  
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